



IN THE UNITED STATES PATENT & TRADEMARK OFFICE

DJ  
#5 2/5/02  
IDS/Statement

In re application of : December 20, 2001  
J. R. Hind, et al : IBM Corporation  
Filing Date: January 17, 2001 : Dept.T81/Bldg. 503-3  
Serial No. 09/765,127 : P.O. Box 12195  
For: Technique for Improved Audio Compression : Research Triangle Park,  
: North Carolina 27709  
: Art Unit 2152

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

RECEIVED

FEB 05 2002

Group 2100

Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

Applicants submit herewith patents, publications or other information of which there may be a duty to disclose in accordance with 37 CF 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 CFR 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 CFR 1.97(g) the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists.

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 CFR 1.98(a)(1).

A copy of each of the items on PTO-1449 is supplied herewith.

Respectfully submitted,

Edward H. Duffield  
Attorney for Applicants  
Registration No. 25,970

EHD:dl  
PHONE: 919-254-1301; FAX: 919-254-4330

attachments

RECEIVED

FEB 12 2002

Technology Center 2600

CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)

I hereby certify that this paper and fee are being deposited with the United States Postal Service as First Class mail in an envelope addressed to the Assistant Commissioner of Patents, Washington, D.C. 20231 on December 20, 2001.

Dianne Lane

NAME OF PERSON MAILING PAPER AND FEE

*Dianne Lane*

SIGNATURE OF PERSON MAILING PAPER AND FEE



FORM PTO-1449 (Modified)

LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION  
DISCLOSURE STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.  
RSW920010010US1

SERIAL NO.  
09/765,127

APPLICANT:  
J. R. Hind, et al

FILING DATE:  
1-17-2001

GROUP:  
2152

RECEIVED  
FEB 05 2002  
Group 2100

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIALS	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPRO.)
AA	6 3 3 0 6 7 0	12-11-2001	P. England, et al	G06F	9/44	1-8-1999
AB						
AC						

FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

AG	
AH	

RECEIVED  
FEB 12 2002  
Technology Center 2600

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.